

# JUN 0 5 2001

OFFICE OF PETITIONS	
Dept.: C	
SMM&N File No. 6009-0012-0 CONT By: NFO	:RLT:dlc
erial No. <u>09/525.940</u>	
the matter of the Application of: Renata Maria Anna C ET AL	AVALIERE ved. VESELY
or: ENTERAL DIETARY COMPOSITIONS COM STREPTOCOCCUS THERMOPHILUS AND LONGUM	BIFIDOBACTERIUM
The following has been received in the U.S. Patent Office	on the date stamped hereon:
pp. Specification & Claims/Drawings	Sheets
□ Combined Declaration, Petition & Power of Attorney	pages
☐ List of Inventor Names and Addresses	
□ Utility Patent Application	□ CPA
□ Request for Priority	☐ Priority Doc
■ Check for \$110.00	■ Dep. Acct. Order Form
□ Fee Transmittal Form	
☐ Assignment/PTO 1595 pages:	
□ Letter to Official Draftsman	
□ Letter Requesting Approval of Drawing Changes	
□ Drawings sheets □ Formal	
■ PTO Cover Letter	1 1
■ Second Preliminary Amendment	
□ Information Disclosure Statement	■Form PTO 1449's (2pp.
□ Cited References	
□ Search Report	•
□ Statement of Relevancy	☐ Cited Pending Applications
□ IDS/Related/List of Related Cases	Applications
□ Restriction Response	□ Election Response
☐ Declaration Under 37 C.F.R. §1.132	
□ Request for Extension of Time	
□ Notice of Appeal	
□ Brief	
□ Issue Fee Transmittal	

Due Date: N/A

□ White Advance Serial Number Card

■ Terminal Disclaimer



JUN 0 5 2001

## OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT PETTIONS

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PATENT, TRADEMARK AND COPYRIGHT LAW AND RELATED FEDERAL AND ITC UTIGATION

Docket No.: 6009-0012-0 CONT

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

RE:

Application Serial No.: 09/525,940

Applicant(s): Renata Maria Anna CAVALIERE ved. VESELY ET AL

Filing Date: MARCH 15, 2000

For: ENTERAL DIETARY COMPOSITIONS COMPRISING

STREPTOCOCCUS THERMOPHILUS AND BIFIDOBACTERIUM LONGUM

Group No.: 1651

Examiner: AFREMOVA

SIR:

Attached hereto for filing are the following papers:

SECOND PRELIMINARY AMENDMENT TERMINAL DISCLAIMER FORM PTO 1449's (2pp.)

Our check in the amount of \$110.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon Attorney of Record Registration No. 24,618

Richard L. Treanor, Ph.D. Registration No. 36,379



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**OFFICE OF PETITIONS** 

Docket No.:

6009-0012-0 CONT

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

> RE: Application Serial No.: 09/525,940

> > Applicants: Renata Maria Anna CAVALIERE ved., et al.

Filing Date: March 15, 2000

For: ENTERAL DIETARY COMPOSITIONS COMPRISING

STREPTOCOCCUS THERMOPHILUS AND BIFIDOBACTERIUM

LONGUM

Group Art Unit: 1651

Examiner: V. AFREMOVA

SIR:

Attached hereto for filing are the following papers:

PETITION UNDER 37 C.F.R. 1.182; PTO COVER LETTER (copy as filed Feb. 20, 2001); SECOND PRELIMINARY AMENDMENT (copy as filed Feb. 20, 2001); TERMINAL DISCLAIMER (copy as filed Feb. 20, 2001); PTO FORM-1449(2pp., copy as filed Feb. 20, 2001); DATE-STAMPED FILING RECEIPT (copy as filed Feb. 20, 2001)

Our check in the amount of \$130.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Adjustment date: 07/19/2001 06/06/2001 LGIBBS 00000001 JWASHING 00000001 09525940 01 FC:122 r130.00 OP

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DOCKET'NO.: 6009-0012-0CONT

OFFICE OF PETITIONS

#### IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF:

: GROUP ART UNIT: 1651

Renata Maria Anna CAVALIERE ved. ET AL

: EXAMINER: AFREMOVA, V.

SERIAL NO: 09/525,940

: BATCH NO: D22

FILED: MARCH 15, 2000

: ALLOWED: MARCH 9, 2001

FOR: ENTERAL DIETARY

COMPOSITIONS COMPRISING

**STREPTOCOCCUS** THERMOPHILUS AND

**BIFIDOBACTERIUM LONGUM** 

#### PETITION UNDER 37 C.F.R. 1.182

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

SIR:

Applicants respectfully request consideration of the references submitted by applicants under 37 C.F.R. 1.97 on February 20, 2001.

On February 20, 2001 Applicants submitted a Second Preliminary Amendment, Terminal Disclaimer, and PTO Form 1449 under 37 C.F.R. 1.97. As evidence thereof, Applicants submit herewith copies of a Date-Stamped Filing Receipt, Preliminary Amendment, Terminal Disclaimer, and Form-1449. Examiner acknowledged receipt of the Form 1449 as evidenced by the Notice of Allowability dated March 9, 2001. The Examiner signed the Form-1449 but failed to initial all of the references.

Applicants respectfully request that the Office provide an initialed and signed copy of Applicant's Form 1449.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,

MAHER & XIEUSTADT, P.C.

Norman F. Oblon Attorney of Record Registration No. 24,618

Richard L. Treanor, Ph.D. Registration No. 36,379

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(703) 413-3000

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JUN 0 5 2001

DOCKET NO.: 6009-0012-0 CONT of

6009-0011-0 PCT

**OFFICE OF PETITIONS** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Renata Maria Anna CAVALIERE ved.

: GROUP ART UNIT: 1651

**VESELY ET AL** 

SERIAL NO.: 09/525,940

**FILED: MARCH 15, 2000** 

: EXAMINER: AFREMOVA

FOR: ENTERAL DIETARY COMPOSITIONS

COMPRISING STREPTOCOCCUS

THERMOPHILUS AND BIFIDOBACTERIUM

LONGUM:

#### SECOND PRELIMINARY AMENDMENT

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

SIR:

Responsive to the telephone conference of February 14, 2001, Applicants submit herewith the requested Terminal Disclaimer.

#### REMARKS

Applicants would like to thank Examiner Afremova for the helpful and courteous telephone discussion of February 14, 2001. As discussed, a Terminal Disclaimer over parent Serial Number 08/952,820 (now U.S. Patent No. 6,077,504) will place this case in condition for allowance. This Terminal Disclaimer is attached hereto. In addition, Applicants have submitted herewith Form PTO-1449's listing the references considered in the parent case the references cited by the Examiner and those cited in the International Search Report. As these references have all been considered and are of record in the parent, they have not again been submitted in this case. However, Applicant would like these references to be printed on

the front face of this patent, and for this reasons the attached PTO 1449's are attached.

Should anything further be necessary the Examiner is requested to contact Applicants' below-signed attorney.

Accordingly, in view of the telephone discussion and the attached Terminal Disclaimer Applicants submit that this case is now in condition for allowance. Early notification to this effect is respectfully requested.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Norman F. Oblon Attorney of Record Registration No.: 24,618

Richard L. Treanor, Ph.D. Registration No.: 36,379

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Telephone: (703) 413-3000 Facsimile (703) 413-2220

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JUN 0 5 2001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE OF PETITIONS

IN RE APPLICATION OF: Renata Maria Anna CAVALIERE ved.

VESELY ET AL

**GROUP ART UNIT: 1651** 

SERIAL NO: 09/525,940

**EXAMINER:** 

**AFREMOVA** 

FILED:

March 15, 2000

FOR:

ENTERAL DIETARY COMPOSITIONS COMPRISING STREPTOCOCCUS

THERMOPHILUS AND BIFIDOBACTERIUM LONGUM

#### TERMINAL DISCLAIMER

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

SIR:

Now comes the undersigned, Attorney of Record in the present application, who avers as follows: Renata Maria Anna CAVALIERE ved. VESELY and Claudio DE SIMONE

are the owners of the entire right, title and interest in and to the invention claimed and disclosed in the above-captioned patent application.

Renata Maria Anna CAVALIERE ved. VESELY and Claudio DE SIMONE hereby disclaims the terminal part of any patent granted on the above-captioned application, which would extend beyond the expiration date of the full statutory term as presently shortened by any terminal disclaimer of Patent No. 6,077,504, and hereby agrees that any patent so granted on said above-captioned application shall be enforceable only for and during such period that the legal title to said patent shall be the same as the legal title to United States Patent No. 6,077,504, this agreement to run with any patent granted on the above-captioned application and to be binding upon the grantee, its successors or assigns.

Renata Maria Anna CAVALIERE ved. VESELY and Claudio DE SIMONE do not disclaim any terminal part of any patent granted on the above-captioned application that would extend to the full statutory term as presently shortened by any terminal disclaimer of Patent No. 6,077,504 in the event that it later: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid, is statutorily disclaimed in whole or terminally disclaimed under 37 C.F.R. 1.321(a), has all claims canceled by a reexamination certificate, or is otherwise terminated prior to the expiration of its statutory term as presently shortened by any terminal disclaimer, except for the separation of legal title stated above.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

**Date Signed** 

Richard L. Treanor, Ph.D. Registration No. 36,379

(OSMMN 7/98)

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Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE			ATTY DOCKET NO. 0 5 2001 6009-0012-0 CONT		SERIAL NO. 09/525,940			
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT  Renata Maria Anna CAVALIERE ved. VESELY ET AL				
				FILING DATE March 15, 2000		GROUP	1651	
0	)	*		U.S. PATENT DOCUMENTS		12		
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	·AA	5,716,615	02/10/98	CAVALIERE ET AL	/		*	
	AB	5,310,555	05/10/94	ZIMMER	/			
	AC	5,494,664	02/27/96	BRASSAT ET AL	/			
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	•	OTHER REFE	RENCES (I	ncluding Author, Title, Date, Pertinent	Pages, e	tc.)		
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	AX	PATENT ABSTRACTS O CO., LTD.), 8 November	F JAPAN; V 1991	ol. 016, No. 049 (C-0908), 7 February 1	992 & JP (	3 251159	A (NIPPON OIL & FATS	
	AY	Elmer et al, "Biotherapeut	tib Agents",	JAMA, March 20, 1996, Vol. 275, No. 11	, pp. 870-	376	*	
	AZ	Saavedra et al, "Feeding diarrhea and sheeing of r	of Bifidobact otavirus", Oc	erium bifidum and Streptococcus therm tober 15, 1994, The Lancet, Vol. 344, p	ophilus to p. 1046-10	infants in 1 149	hospital for prevention of	
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\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	6009-0012-0-CONT	SERIAL NO. 09/525,940
LIST OF	REFEF	RENCES CITED BY APPLICANT	APPLICANT REPETE METERATIONS AVAL	IERE ved. VESELY ET AL
	٠.٠.		FILING DATE MARCH 15, 2000	GROUP 1651
		OTHER REFERENCES (	Including Author, Title, Date, Pertinent Pa	ges, etc.)
	AAA	MASSIMO CAMPIERI ET AL "Probi	otics in Inflammatory Bowel Disease: New Ir ENTEROLOGY, Vol. 116, No. 5; May 1999; p	nsight to Pathogenesis of a Possible
	AAB	A. VENTERI ET AL; "Impact on the compaintenance treatment of patients w	composition of the faecal flora by anew probinith ulcerative colitis"; Aliment Pharmacol The	oxic preparation: preliminary data on f.; pp. 1103-1108; 1999
	AAC	William J. Sandborn et al; "Medical T Review"; Inflammatory Bowel Diseas	Therap for Induction and maintenance of Rences®, Vol. 5, No. 1, February 1999, pp./33-39	nission in Pouchitis: A Systematic
	AAD	G. BAZZOCCHI ET AL; "Change in O Probiotic Preparation"; Gastroenterol	Colonic Funtion and Fecal Microbiological an logy International	d Enzymatic Activities Induced by a New
	AAE	P. GIONCHETTI ET AL; "Microflora i International, Vol. 11. Suppl. 1.; pp. 1	in the IBD Pathogenesis Possible Therapeut 108-110; 1998	ic Use of Probiotics*; Gastroentrology
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\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.